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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Date Submitted: November 9, 2009  
(use as many sheets as necessary)

Sheet

1

of

3

**Complete if Known**

Application Number	10/619,539
Filing Date	7/16/2003
First Named Inventor	H. William BOSCH
Art Unit	1615
Examiner Name	Susan T. Tran

Attorney Docket Number 029318-0961

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	5,916,596	06/1999	Desai et al.	
	A2	5,972,389	10/1999	Shell et al.	
	A3	6,004,582	12/1999	Faour et al.	
	A4	6,228,399	05/2001	Parikh et al.	
	A5	5,049,322	09/1991	Devissaguet et al.	
	A6	6,458,373	10/2002	Lambert et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>4</sup> Number <sup>5</sup> Kind Code <sup>6</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	B1	WO 98/31360 A1	07/23/1998	Stamm et al.		
	B2	"EP 0 600 532 A2	06/1994	Sterling Winthrop Inc.	EP Equivalent to JP 6-227967	
	B3	JP 6-227967	08/1994	Sterling Winthrop Inc.	English Abstract	
	B4	WO 00/13672	03/2000	Nanosystems		
	B5	JP 4-502318	04/23/1992	McCarthy	Eng. Abstract	
	B6	JP 07-112936	05/02/1995	Perovich et al.	Eng. Translation and Eng. Abstract	

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	C1	Office Action cited in related U.S. Patent Application No. 10/667,470, dated May 19, 2009.	
	C2	Office Action cited in related U.S. Patent Application No. 10/677,857, dated July 8, 2009.	
	C3	Office Action cited in related U.S. Patent Application No. 10/697,716, dated April 15, 2009.	

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Date Submitted: November 9, 2009				<b>Filing Date</b>	7/16/2003
(use as many sheets as necessary)				<b>First Named Inventor</b>	H. William BOSCH
Sheet	2	of	3	<b>Art Unit</b>	1615
				<b>Examiner Name</b>	Susan T. Tran
				<b>Attorney Docket Number</b>	029318-0961

NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.
	C4	Office Action cited in related U.S. Patent Application No. 10/697,703, dated July 9, 2009.
	C5	Office Action cited in related U.S. Patent Application No. 11/898,274, dated May 5, 2009.
	C6	Office Action cited in related U.S. Patent Application No. 11/650,412, dated May 12, 2009.
	C7	Office Action cited in related U.S. Patent Application No. 10/697,716, dated September 15, 2009.
	C8	Office Action cited in related U.S. Patent Application No. 11/979,231, dated September 16, 2009.
	C9	Office Action cited in related U.S. Patent Application No. 11/979,231, dated March 13, 2009.
	C10	Office Action cited in related U.S. Patent Application No. 10/701,064, dated February 12, 2009.
	C11	Office Action cited in related U.S. Patent Application No. 11/980,720, dated October 5, 2009.
	C12	Office Action cited in related U.S. Patent Application No. 11/898,274, dated October 23, 2009.
	C13	Notice of Rejections issued Jan. 6, 2009 for related Japanese Patent Application No. 2001-529425.
	C14	Calvo et al., "Development of Positively Charged Colloidal Drug Carriers: Chitosan-Coated Polyester nanocapsules and Submicron-Emulsions," Colloid. Polym. Sci., 275, pp. 46-53 (1997).
	C15	Rock et al., "Control of Calcium Carbonate Particle Size and Shape by Precipitation from CTAB/Alcohol/Hexadecane Mixtures," Colloid. Polym. Sci., 275, pp. 893-896 (1997).

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	C16	Notice of Rejections for Japanese Patent Application No. 2001-583733, dated Aug. 26, 2009.	

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